

Title: Does polycentricity fit? Linking social fit with polycentric governance in a large-scale marine protected area

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2 Abstract

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4 Scholars have theorized that polycentricity may produce benefits that promote effective,  
5 sustainable governance of complex social-ecological systems. Yet, little empirical research  
6 exists exploring whether and how these benefits emerge and what additional outcomes  
7 polycentric governance systems produce. This paper presents an empirical examination of  
8 Papahānaumokuākea Marine National Monument (PMNM), one of the longest-standing and  
9 largest marine protected areas in the world. Monument governance is structured as a polycentric  
10 system, including semi-autonomous decision-making groups and governance actors that interact  
11 across jurisdiction, geography, and decision-making levels. Through analysis of qualitative  
12 empirical data, we explore whether and how PMNM functions as theory predicts, with a  
13 particular focus on social fit and how it has evolved over time. Findings indicate that PMNM  
14 largely exhibits social fit for governance actors, and they add empirical support and additional  
15 nuance to theoretical understandings of functional polycentricity. Specifically, the case suggests  
16 additional contextual features that might promote social fit, including sufficient time and  
17 resources, clear communication and shared understanding, and socially astute and strategically  
18 savvy governance actors holding key governance positions. The article demonstrates that social  
19 fit can increase or decrease over time, and that different actors may perceive its presence and  
20 extent differently. These findings suggest avenues for additional research into how the enabling  
21 conditions of polycentric governance systems and the contextual features that enliven those  
22 systems in practice may interact and affect functionality and other outcomes.

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24 Keywords

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26 Polycentricity, social fit, large-scale marine protected area, hybrid governance,  
27 Papahānaumokuākea Marine National Monument

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29 1. Introduction

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31 Scholars have increasingly drawn attention to polycentric governance systems that rely  
32 on hybrid governance structures as potential solutions to complex environmental problems  
33 (Oakerson and Parks 2011; Heikkila, Villamayor-Tomas, and Garrick 2018; E. Ostrom 2010).  
34 They theorize that polycentric systems may be more likely than other forms of governance to  
35 exhibit three benefits: adaptive capacity, institutional (both ecological and social) fit, and  
36 minimized risk of resource loss and governance failure through functional redundancy and  
37 institutional diversity (Carlisle and Gruby 2017). Carlisle and Gruby (2017) offer a theoretical  
38 model for a *functional* polycentric governance system, or a polycentric system that exhibits these  
39 benefits. The model describes the two key attributes of a polycentric system and seven enabling  
40 conditions that may increase the likelihood that one or more of the three theorized benefits will  
41 emerge (Table 1). Yet, few studies have empirically tested whether the theoretical relationships  
42 in this model hold up in practice (Biddle and Baehler 2019; Mudliar 2020; and Carlisle and  
43 Gruby 2018 are exceptions).

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45 We address this gap through a study of the Papahānaumokuākea Marine National  
Monument (PMNM), a complex governance system that manages access to and activity within

46 the Northwest Hawaiian Islands and oceans surrounding them. Our analysis demonstrates that  
47 PMNM is a polycentric system jointly managed by agencies in two federal departments, the state  
48 of Hawai‘i, and the Office of Hawaiian Affairs (OHA), with input from various state and non-  
49 state actors (Kittinger et al. 2011). It exemplifies hybrid governance in that it is co-managed, or  
50 blends state and community governance in decision-making (Lemos and Agrawal 2006).  
51 Established in 2006 by President Bush (Proclamation No. 8031) and expanded in 2016 by  
52 President Obama (Proclamation No. 9478), PMNM is one of the longest standing large-scale  
53 marine protected areas (LSMPAs) in the world. Its initial creation is credited with helping spur  
54 the global trend to establish LSMPAs (Christie et al. 2017), and proponents highlight it as a  
55 model for successful joint ecological and cultural governance (Kikiloi et al. 2017).

56 In this article, we focus on one proposed benefit of a functional polycentric system that  
57 has received little empirical attention: social fit. Social fit is the extent to which a governance  
58 system addresses people’s diverse beliefs, norms, values and expectations in a social-ecological  
59 system (Epstein et al. 2015). It has been theorized as beneficial and merits specific attention  
60 because it has been shown to promote human well-being as well as the perceived legitimacy of  
61 governance systems (Turner et al. 2018; DeCaro and Stokes 2013). We show that PMNM largely  
62 exhibits social fit for governance actors and that some of the enabling conditions identified by  
63 Carlisle and Gruby (2017) can promote social fit’s emergence. We advance theoretical  
64 understanding of polycentricity by 1) adding nuance to understanding of enabling conditions and  
65 interactions among them, and 2) proposing four contextual features of PMNM that contributed to  
66 social fit in practice.

67 This article also contributes to literature on LSMPAs. Following calls for greater  
68 attention to the human dimensions of MPAs and LSMPAs (Charles and Wilson 2009; Fox et al.  
69 2012; R.L. Gruby et al. 2016), research on LSMPA governance, politics, and social dimensions  
70 and outcomes has increased rapidly in recent years (Gruby et al. 2017; Leenhardt et al. 2013; De  
71 Santo 2020; Richmond et al. 2019; Gruby et al. 2021) but remains limited. By engaging theory  
72 on polycentricity, we offer new insights into why the PMNM governance system is held up as a  
73 model of success while cautioning that functionality is never fully stable or settled.

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## 75 2. Social fit: A theorized benefit of polycentric governance systems

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77 Polycentric systems have been explored as a tool for addressing complex environmental  
78 problems (E. Ostrom 2010). V. Ostrom, Tiebout, and Warren (1961) introduced polycentric  
79 governance systems as those involving multiple, independent or semi-independent centers of  
80 decision-making that “take each other into account” through specific interactions and  
81 relationships (p. 831). Polycentric governance systems have been theorized to promote effective  
82 governance by distributing power among actors, spreading risk to minimize the potential for  
83 governance failure, and allowing institutional experimentation through diversity (E. Ostrom  
84 2005). While not a panacea (Berardo and Lubell 2019), polycentricity can contribute to effective  
85 governance of common pool resources in particular places and contexts (Juerges, Leahy, and  
86 Newig 2018; Baldwin et al. 2018; Villamayor-Tomas 2018).

87 Empirical studies of polycentric governance are still limited but increasing. In particular,  
88 scholars have begun investigating linkages between structure and function in polycentric  
89 governance systems (Heikkila, Villamayor-Tomas, and Garrick 2018). For example, Villamayor-  
90 Tomas (2018) demonstrates that water user associations in the Spanish irrigation sector exhibited

91 adaptive capacity, in part because the associations had autonomy, competition, and effective  
 92 institutions to guide and govern their interactions with other decision-making centers. Baldwin et  
 93 al. (2018) find that multiple, overlapping decision-making centers, incentives to cooperate, trust,  
 94 and formal and informal institutions encourage collective action in water governance in Kenya.  
 95 Others have demonstrated that polycentric governance alone is neither “good” nor “bad” (Thiel,  
 96 Blomquist, and Garrick 2019); the effectiveness of polycentric governance depends on the place  
 97 and context of a governance system (Berardo and Lubell 2019). Indeed, the structural stability of  
 98 governance systems that exhibit polycentric attributes can even serve to mask adverse outcomes  
 99 and decreasing effectiveness, as demonstrated in Australia’s Great Barrier Reef (Morrison 2017).  
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Attribute	Enabling Condition	Advantage: Enhanced Adaptive Capacity	Advantage: Good Institutional Fit	Advantage: Risk Mitigation/ Redundancy
Multiple, overlapping decision-making centers with some degree of autonomy		X	X	X
	Decision-making centers employ diverse institutions	X	X	X
	Decision-making centers exist at different levels and across political jurisdictions		X	X
	The jurisdiction or scope of authority of decision-making centers is coterminous with the boundaries of the problem being addressed		X	
Choosing to act in ways that take account of others through processes of cooperation, competition, conflict, and conflict resolution		X	X	
	Generally applicable rules and norms structure actions and behaviors within the system	X		
	Decision-making centers participate in cross-scale linkages or other mechanisms for deliberation and learning	X	X	
	Mechanisms for	X		

accountability exist within the governance system

A variety of formal and informal mechanisms for conflict resolution exist within the system

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102 Table 1. Theoretical Model of a Functional Polycentric Governance System (reproduced from  
103 Carlisle and Gruby (2017)); shaded column highlights attributes and enabling conditions  
104 associated with increased social fit

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Scholars are beginning to develop and engage frameworks to structure comparable empirical studies that can build, test, and add nuance to generalizable theories of polycentric governance. In addition to Carlisle and Gruby's (2017) contribution, Stephan, Marshall, and McGinnis (2019) outline eight key governance characteristics that scholars connect with polycentric governance systems and suggest potential measures for each. Researchers have begun to use these contributions to focus research and empirically test theoretical claims. For instance, Biddle and Baehler (2019) find that Flint, MI's water governance system failed to produce beneficial outcomes, despite exhibiting some of the enabling conditions in Carlisle and Gruby's (2017) model. Mudliar (2020) examines how power and power dynamics between decision-making centers interact with polycentric attributes, enabling conditions, and contextual features in Lake Victoria's fishery governance systems to both exclude lower-level actors from decision-making and centralize governance over time. Yet, few polycentricity studies have focused on social fit, a key component of institutional fit, in depth (although see Boakye-Danquah et al. 2018).

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We address this gap by investigating whether and how social fit emerges in PMNM. We engage Carlisle and Gruby's (2017) model to situate this work in broader efforts to develop generalizable theory on polycentricity. Scholars have defined social fit in various ways, based on: whether governance institutions address resource users' psychological and social needs (Turner et al. 2018); the acceptance and perceived legitimacy of governance institutions (Meek 2013; DeCaro and Stokes 2013); and/or whether governance institutions reflect resource users' worldviews, values, goals, or beliefs (Aburto and Gaymer 2018; Briassoulis 2017). We define social fit using Epstein et al.'s (2015) criteria: 1) institutions align with stakeholders' values, beliefs, customs, and use patterns, 2) decision-making centers address stakeholder psychological needs and expectations, and 3) the governance system resolves conflicts, provides resources, and promotes social learning.

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Recent scholarship examining polycentricity and social fit has revealed two key insights relevant for this study. First, while scholarship to date has often presented social fit as an inherent "good" or benefit to a system, the extent to which it is perceived as beneficial depends on the interests and goals of particular actors, which may vary (Briassoulis 2017). We therefore understand social fit on a continuum as it relates to particular groups, and we consider whether social fit constitutes a benefit of a functional polycentric system as an empirical question. Second, polycentricity scholars find that governance systems are dynamic and continuously evolving (Biddle and Baehler 2019; Carlisle and Gruby 2018; Thiel, Pacheco-Vega, and Baldwin 2019), suggesting their functionality is contingent on both institutional structure and contextual

140 factors (Morrison 2017; Thiel, Pacheco-Vega, and Baldwin 2019; Mudliar 2020). We look for  
141 additional factors outside of the model that may contribute to or limit social fit.

142 We use PMNM as a case study to empirically interrogate the links among the attributes  
143 and enabling conditions of a polycentric governance system and social fit as a theorized benefit  
144 of that system. First, we assess whether PMNM can be characterized as a polycentric system  
145 based on the two attributes of polycentricity. Kittinger et al. (2011) has characterized PMNM as  
146 a polycentric system; we sought to determine whether it had remained polycentric over time.  
147 Second, we analyze the extent to which PMNM exhibits the theorized enabling conditions for  
148 social fit. Third, we assess the social fit of PMNM using Epstein et al. (2015)'s three dimensions  
149 of social fit, and we discuss how the enabling conditions relate to PMNM's social fit. Lastly, we  
150 present four contextual features of PMNM that made the emergence of social fit possible, and we  
151 offer reflections and conclusions in the final section. Through this analysis, we aim to further the  
152 task of understanding polycentric governance in practice by using an empirical case to further  
153 test and refine polycentricity theory generally, and Gruby and Carlisle's (2017) model  
154 specifically.

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### 156 3. The case: Papahānaumokuākea Marine National Monument

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158 In June 2006, President Bush signed a presidential proclamation establishing the  
159 Northwestern Hawaiian Islands Marine National Monument, the largest MPA in the US at the  
160 time, through the American Antiquities Act. Native Hawaiian<sup>1</sup> cultural leaders soon renamed this  
161 culturally significant area the Papahānaumokuākea Marine National Monument, honoring the  
162 place where, according to the Native Hawaiian creation chant, the Kumulipo, life emerges and  
163 spirits return after death (Freestone et al. 2014). The naming "reemphasized the importance of  
164 the genealogical connection between people and nature as the foundation of Hawaiian tradition"  
165 (Kikiloi et al. 2017, 441). The area was established as a UNESCO World Heritage site in 2010,  
166 becoming the world's first cultural seascape. Finally, in 2016, President Obama expanded  
167 PMNM to include 1,508,870 square kilometers, and simultaneously elevated the Office of  
168 Hawaiian Affairs, a semi-autonomous public agency dedicated to promoting Native Hawaiians'  
169 well-being, to become a co-Trustee of PMNM.

170 PMNM includes the remote northwest Hawaiian islands and the surrounding oceans of  
171 the US exclusive economic zone (Kikiloi et al. 2017). With the exception of a military base and  
172 small field camps, the islands are uninhabited, and governance occurs mostly from afar, in O'ahu  
173 (for reference, Nihoa, the closest island within PMNM, is located roughly 440 km from O'ahu).  
174 This distance, as well as PMNM's strict limits on access to the area and resource extraction,  
175 mean that relatively few people outside of the military actually travel to PMNM. Those that do  
176 include researchers, Native Hawaiian cultural practitioners, educators, and others that hold  
177 required permits. Previous regulatory actions had already greatly reduced fishing before PMNM  
178 was established, and the final small bottomfish fishery ended in 2010, when the National Marine  
179 Fisheries Service (NMFS) bought back permits from any remaining fishermen (Kittinger et al.  
180 2011).

181 Social fit refers to how well a governance system's structure and function fits with  
182 stakeholder expectations and norms. Yet identifying "stakeholders" for PMNM is not

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<sup>1</sup> Native Hawaiian refers to any person who can trace their Hawaiian ancestry prior to 1778 (42 U.S. Code § 3057k).



183 straightforward, given limited in-person engagement with the place coupled with the vast scope  
184 of people with possible interest in PMNM (one might include interested Hawaiians, interested  
185 US residents, or anyone interested in PMNM management worldwide). We argue that  
186 governance actors represent the stakeholders with the most direct, active engagement in PMNM.  
187 We define governance actors broadly as people engaged in decision-making processes for  
188 PMNM management, including both direct decision-makers as well as those with advisory or  
189 supporting roles to decision-making centers. This includes all permit holders with access to  
190 PMNM; many of them work directly in government agencies, and all engage with governance  
191 processes through permitting system requirements. Thus, “governance actors” include the  
192 primary in-person users of PMNM. Additionally, many past and potential future user groups that  
193 currently lack access to PMNM are represented in PMNM’s governance system (e.g. fishermen).  
194 Note that these actors hold multiple, distinct worldviews and histories, situated in Western and  
195 Native Hawaiian cultural contexts.

196 The research for this paper emerged from [name removed for review] and [name  
197 removed for review]’s participation and leadership in the Community of Practice for human  
198 dimensions of LSMPAs and its call for increased research on LSMPA governance (Christie et al.  
199 2017). We selected PMNM because it is generally regarded as a model for biocultural  
200 conservation areas. Here, we build on existing human dimensions scholarship on PMNM to  
201 understand how its governance evolved over time (e.g. Kittinger et al. 2011; Freestone et al.  
202 2014; Kikiloi et al. 2017; MacKenzie and Tanaka 2015).

203

#### 204 4. Methods

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206 This project included two phases. First, we engaged key PMNM managers, stakeholders,  
207 and members of the LSMPA Community of Practice early in the research design process. These  
208 conversations informed our research questions and data collection methods to ensure that they  
209 were appropriate and useful for PMNM. Second, data collection consisted of 44 semi-structured  
210 interviews with PMNM governance actors, document collection, and participant observation at  
211 PMNM-related meetings and events. Interviewees included governance actors described above:  
212 current and past government and semi-government agency employees; advisory group members;  
213 PMNM permit holders; non-government organization representatives; researchers; and members  
214 of the public who engaged in PMNM management. We conducted participant observation at a  
215 Reserve Advisory Council (RAC) meeting, PNMN outreach events, and a tour of the  
216 Mokupāpapa Discovery Center. Data were collected by [name removed for review] and [name  
217 removed for review] in Hawai‘i (on O‘ahu and the island of Hawai‘i) during May-July of 2018.  
218 Additional interviews were conducted remotely in 2018 and 2019.

219 Data collection and analysis for the project occurred iteratively and included deductive  
220 and inductive processes (Glaser and Strauss 2009; Bernard 2006; Charmaz 2014). Our interview  
221 guide was informed by Carlisle and Gruby (2017), Epstein et al. (2015), and broader  
222 polycentricity and social fit literatures. We adjusted data collection methods as insights arose,  
223 addressing new themes during interviews and exploring new document sources. We transcribed  
224 interviews and coded data iteratively using QSR NVivo software. We relied predominantly on  
225 interview data to identify rules-in-use and perceptions of how management activities align with  
226 belief systems and cultural norms of governance actors.

227 We describe each attribute, enabling condition, and dimension as present, mostly present,  
228 somewhat present, or absent in PMNM, recognizing that “polycentric governance can be

229 understood as an intrinsically dynamic process embedded within a contingent type of structure  
 230 that is difficult to capture in single measures” (Stephan, Marshall, and McGinnis 2019, p. 44).  
 231 The descriptors provide a simplified heuristic of a complex reality; none of the attributes,  
 232 enabling conditions, dimensions, and features are completely present or absent all of the time  
 233 (Briassoulis 2017; Carlisle and Gruby 2018). “Present” indicates that the feature in question was  
 234 observed in PMNM with no apparent weaknesses or limitations; “mostly present” indicates that  
 235 the feature was broadly present but with minor weaknesses or limitations; “somewhat present”  
 236 indicates that the feature was observed in PMNM, but with significant limitations or weaknesses;  
 237 “absent” indicates that the feature was not observed in PMNM. To assign these descriptors, we  
 238 qualitatively coded interview data according to the descriptors for each feature, and we  
 239 triangulated this analysis with policy documents and/or field notes as appropriate. All of these  
 240 descriptors necessarily refer to the PMNM governance system before and/or at the time of  
 241 research. Given the inevitable effects of institutional change, we also indicate broad changes in  
 242 social fit dimensions over time.

- 243  
 244 5. Linking a polycentric system and social fit  
 245 5.1 PMNM as a polycentric system  
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Acronym	Full Name
PMNM	Papahānaumokuākea Marine National Monument
OHA	Office of Hawaiian Affairs
FWS	Fish and Wildlife Service
NOAA	National Oceanic and Atmospheric Administration
SEB	Senior Executive Board
MMB	Monument Management Board
ONMS	Office of National Marine Sanctuaries
NMFS	National Marine Fisheries Service
CWG	Cultural Working Group
PWG	Permit Working Group
RAC	Reserve Advisory Council

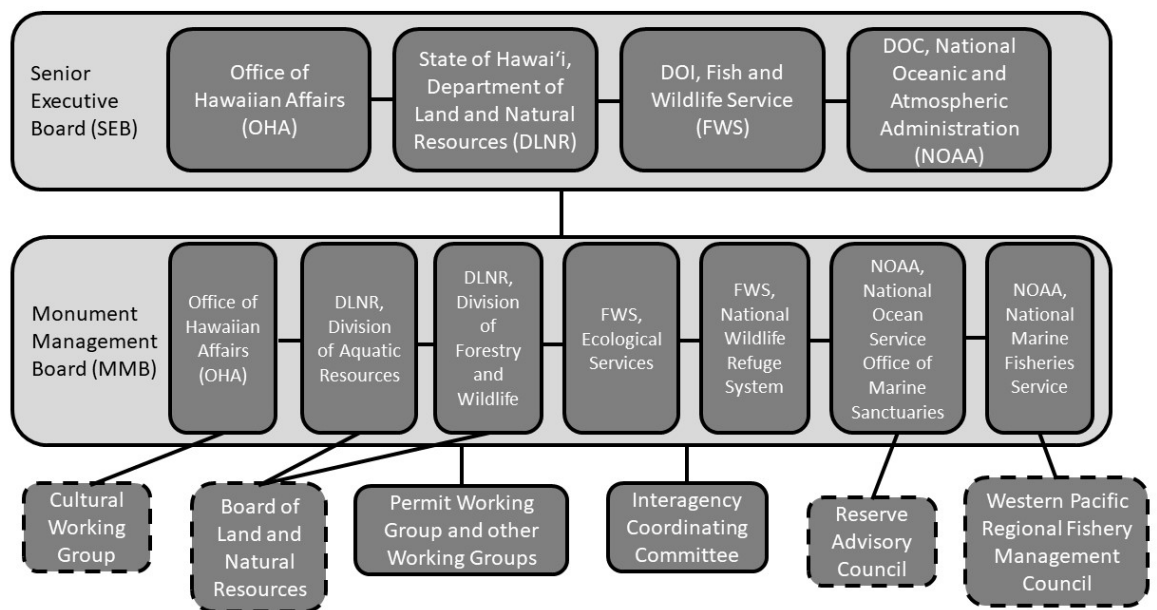
247  
 248 Table 2. Acronyms used in Papahānaumokuākea Marine National Monument governance  
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250 5.1.1 Polycentricity Attribute 1: Present  
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252 Two attributes characterize a polycentric governance system (Carlisle and Gruby 2017;  
 253 V. Ostrom, Tiebout, and Warren 1961). PMNM exhibits the first attribute, which holds that the  
 254 governance system includes “multiple, overlapping decision-making centers with some degree of  
 255 autonomy” (p. 6). Figure 1 is a simplified representation of PMNM’s governance structure. It is  
 256 jointly managed by four co-trustees, each represented on a Senior Executive Board (SEB) that  
 257 oversees and addresses disputes that arise from the Monument Management Board (MMB). The  
 258 MMB wrote and implements the Monument Management Plan, overseeing permit applications,  
 259 enforcement, research and monitoring, and operations, among other things.



260 These seven government agencies and the MMB itself each constitute decision-making  
 261 centers. A decision-making center is any group or unit with power to decide on, enact, or enforce  
 262 rules and norms related to governance (Carlisle and Gruby 2017). The MMB’s legal mandate to  
 263 manage PMNM overlaps with the agencies’ jurisdictional authorities over particular spaces and  
 264 activities within PMNM. While the MMB has autonomy to make governance decisions related to  
 265 PMNM, representatives must ensure that governance decisions comply with their respective  
 266 agency’s mandates, responsibilities, and norms. Some management tasks are delegated to  
 267 working groups, which also constitute decision-making centers. For instance, the Permit  
 268 Working Group (PWG), which is composed of representatives from the seven MMB agencies,  
 269 has autonomy to carry out permit processing and management and interacts with user groups  
 270 travelling to PMNM. Finally, supporting actors, while not decision-making centers themselves,  
 271 provide decision-making centers with critical information. PMNM’s advisory bodies, such as the  
 272 RAC and the Cultural Working Group (CWG), provide expert input to decision-makers and  
 273 represent key avenues for community stakeholders to participate meaningfully in PMNM  
 274 governance.



275  
 276 Figure 1. PMNM’s governance system. Solid rectangles indicate a decision-making center and  
 277 dashed rectangles indicate a critical supporting actor.

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 279 5.1.2 Polycentricity Attribute 2: Present

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 281 PMNM also exhibits the second attribute of a polycentric system, which holds that  
 282 decision-making centers “act in ways that take account of others through processes of  
 283 cooperation, competition, conflict, and conflict resolution” (Carlisle and Gruby 2017, 8). Lines  
 284 connecting decision-making centers and supporting actors in Figure 1 represent the relationships  
 285 through which these processes occur. The permitting system for PMNM access exemplifies this  
 286 attribute. Though military, law enforcement, and emergency personnel are exempt, researchers,

287 Native Hawaiian cultural practitioners, educators, and others must complete a rigorous  
288 permitting process to access PMNM. The permit review process necessitates review and/or  
289 approval by multiple working groups and the MMB agencies. Many applicants are themselves  
290 members of the agencies that constitute the MMB. This interconnectedness between agencies,  
291 applicants, and decision-making centers encourages permit holders to coordinate their activities.  
292 For example, researchers and Native Hawaiian cultural practitioners coordinate some of their  
293 permits and trips to PMNM, sharing boat space and time to carry out research and cultural  
294 practices. Interviewees noted that these shared experiences allow users to learn from one another  
295 and enrich one another's understanding and appreciation of the place and their relationship to it  
296 (see Kikiloi et al. 2017).

297 The Fish and Wildlife Service (FWS), National Oceanic and Atmospheric Administration  
298 (NOAA), and the State of Hawai'i each have jurisdiction over specific terrestrial and marine  
299 areas within the PMNM; whether they overlap and what that means if they do is still a source of  
300 conflict. Yet, interviewees described how, through coordination based on mutual trust and  
301 understanding, the agencies have established avenues to co-manage these areas through the  
302 MMB, often by allowing the agency with legal jurisdiction to guide decision-making. Though  
303 OHA does not have legal jurisdiction over the governance of a specific geographic area, MMB  
304 members offer similar deference to OHA's guidance in decisions related to cultural aspects of  
305 PMNM governance. Despite conflicting understandings of jurisdiction in some areas, a norm of  
306 coordinating rather than asserting authority has emerged. One MMB member explained, "The  
307 jurisdiction is messy, really messy...So, we've all agreed to disagree and jointly [make  
308 decisions] because it's been too messy not to" (Interview L3XM).

309 In summary, PMNM is a polycentric system. Next, we investigate whether and how it  
310 exhibits the enabling conditions that are theorized to facilitate social fit.

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## 312 5.2 Enabling conditions to achieve social fit in PMNM

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### 314 5.2.1 Social Fit Enabling Condition 1: Present

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316 Carlisle and Gruby (2017) describe four enabling conditions, or structural features of a  
317 polycentric governance system, that may increase the likelihood that the system will exhibit  
318 social fit. Enabling Condition 1 states that decision-making centers in the governance system use  
319 diverse institutions. Scholars have posited that diverse, semi-autonomous decision-making  
320 centers will likely experiment with multiple institutions, providing the opportunity for  
321 institutions that best fit the needs of a given social context to emerge and adapt as that context  
322 changes (E. Ostrom 2010).

323 The PMNM governance system exhibits Enabling Condition 1. We found both *de jure*  
324 and *de facto* institutional diversity that facilitated coordination among the agencies represented  
325 on the MMB. These agencies exhibit distinct cultures and use distinct rules and norms to carry  
326 out their legal mandates. Interviewees explained that conflicts over these differences emerged  
327 between agencies soon after PMNM was initially created, when they were producing the  
328 management plan (see Kittinger et al. 2011 for additional details). To address legal conflicts,  
329 attorneys from the different agencies met repeatedly to ensure that co-trustee management  
330 practices established in the management plan could be carried out legally. MMB members also  
331 established informal norms to strengthen interpersonal and inter-agency trust and increase  
332 overall efficiency. They began recording and revisiting decisions made during meetings to avoid

333 re-hashing previously accepted outcomes, and they agreed to bring disagreements and  
334 interpersonal conflicts to the MMB directly, rather than sending concerns up the chain of  
335 command.

336 One area identified by some interviewees as needing more institutional diversity, or at  
337 least flexibility, is the permit system to access PMNM. They assert that the process is inefficient,  
338 confusing, and too strict. Others, however, noted that while the permit system is strict, new  
339 norms and creative options for gaining access to PMNM have emerged to address these issues.  
340 An ongoing controversy over NOAA mandated shark culling for monk seal management offers  
341 an example, given sharks' cultural and spiritual significance in Native Hawaiian belief systems.  
342 NMFS has repeatedly included a shark culling program in its management plan for monk seal  
343 protection in PMNM, a practice strongly opposed by many in the CWG. Interviewees noted that,  
344 despite unresolved disagreement, the CWG, NMFS, and other MMB agencies have put forth  
345 immense effort to understand one another's viewpoints and attempt to address one another's  
346 needs and interests (e.g., through including a cultural practitioner to oversee and guide the  
347 culling process as part of the permit). This conflict exemplifies how differences in Native  
348 Hawaiian and Western worldviews create tension in PMNM; yet, the governing structure in  
349 place allows for ongoing communication, collaboration, and institutional innovation. Given this  
350 institutional innovation to address seemingly inflexible regulations in practice, we categorize  
351 Social Fit Enabling Condition 1 as present.

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#### 353 5.2.2 Social Fit Enabling Condition 2: Mostly present

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355 The second enabling condition that may promote social fit states that cross-scale linkages  
356 allowing for learning, information flow, and cooperation should exist across decision-making  
357 centers. The PMNM governance system exhibits this enabling condition through linkages across  
358 jurisdiction, governance level, and geographical space. For example, the MMB provides a forum  
359 for federal agencies, state agencies, and OHA to deliberate and coordinate management across  
360 their different jurisdictional mandates. Disagreements over management decisions at the MMB  
361 level can be elevated to the SEB or to staff in Washington, DC with greater authority. To avoid  
362 inefficiency and frustration, however, MMB members learned to use this option to elevate only  
363 as a last resort. Groups at lower governance levels, such as the PWG, provide additional avenues  
364 to address conflict. PWG members, who often have less authority within their respective  
365 agencies than MMB members, can discuss day-to-day management issues, find ways to resolve  
366 them practically, and elevate questions or discrepancies to their agency superiors without making  
367 conflicts personal.

368 Some interviewees, while noting that these cross-scale linkages were generally present  
369 and effective, identified two areas where mechanisms to promote learning could be strengthened  
370 in the PMNM governance system: cross-cultural understanding and temporal linkages.

371 Governance actors enact institutions to ensure that Native Hawaiians, as well as their cultural  
372 practices, worldviews, and values are woven into all governance areas and decision-making for  
373 PMNM (Kikiloi et al. 2017). Yet, some interviewees assert that more can be done to fully  
374 manage PMNM cross-culturally. For example, some longer-standing governance actors note that  
375 insufficient efforts to teach newer MMB and Working Group members the institutional and  
376 relational history, or genealogy, of PMNM's governance and cultural significance have resulted

377 in inadequate cross-time linkages. Previous arguments over inter-agency misunderstandings and  
378 management practices continue to emerge.

379 Given that interviewees consistently described the presence and usefulness of cross-scale  
380 linkages in the system overall, we consider these weakness minor and describe this enabling  
381 condition as mostly present. Yet, we highlight this opportunity for governance system  
382 improvement here because understanding genealogy, or foundational stories and history, is  
383 central to Native Hawaiian worldview(s), and, more broadly, cross cultural understanding. Some  
384 interviewees described this lack of attention to genealogical teaching, and subsequent inability to  
385 learn from the past, as a missed opportunity. Polycentricity scholars have noted that, while  
386 informal institutional linkages may increase flexibility, efficiency, and trust (Baldwin et al.  
387 2018), they may prove unstable, and thus insufficient over time (Ostrom, Tiebout, and Warren  
388 1961). The erosion of genealogical teaching in PMNM may have occurred because of an over-  
389 reliance on informal norms, rather than more formal mechanisms, to pass historical knowledge to  
390 new governance actors.

### 391 392 5.2.3 Social Fit Enabling Condition 3: Present

393  
394 The third enabling condition calls for decision-making centers to exist at different  
395 levels (to include different strengths and capacities) and across political jurisdictions (to ensure  
396 governance of issues that span individual jurisdictions). The PMNM governance system exhibits  
397 both aspects of this enabling condition. As detailed earlier, the SEB and MMB make overarching  
398 governance decisions for PMNM, and their members represent agencies whose jurisdictional  
399 authority covers PMNM’s ecological and cultural resources. Federal governance actors for  
400 NOAA and FWS in Washington, DC have an even broader purview of all national monuments  
401 and conservation areas. Working groups, agency employees, and permit holders carry out  
402 specific, day-to-day functions, both on O‘ahu and in PMNM. Regular meetings through groups  
403 such as the RAC, the CWG, the Board of Land and Natural Resources, and the Western Pacific  
404 Regional Fishery Management Council also provide linkages to maintain communication and  
405 rapport between governance actors and the public.

406 Similar to concerns noted in Enabling Condition 2, some interviewees expressed concern  
407 that cultural integration had not occurred across all agencies and governance levels. While OHA,  
408 the CWG, a position devoted to Native Hawaiian culture in NOAA’s Office of National Marine  
409 Sanctuaries (ONMS), and designated Native Hawaiian seats on the RAC ensure continued  
410 participation in PMNM governance by Native Hawaiians, there is no overarching body devoted  
411 to ensuring that multi-cultural worldviews are woven throughout PMNM governance. Other  
412 interviewees argue that OHA, as PMNM’s Native Hawaiian cultural expert, is recognized as  
413 having some authority in all aspects of PMNM governance<sup>2</sup>. Despite these opportunities to  
414 strengthen understanding and coordination across worldviews, the PMNM governance system  
415 meets the requirements of Enabling Condition 3 as it is currently written.

### 416 417 5.2.4 Social Fit Enabling Condition 4: Somewhat present

---

<sup>2</sup> The identity of OHA in Hawai‘i generally, and its ability or authority to represent or offer expertise of the Native Hawaiian community specifically, remains contested among Native Hawaiians (Andrade 2016).

418  
419       The final enabling condition holds that “the jurisdiction or scope of authority of decision-  
420 making centers is coterminous with the boundaries of the problem being addressed” (Carlisle and  
421 Gruby 2017, p. 18). PMNM covers a vast geographic area, including the Northwest Hawaiian  
422 Islands and their surrounding oceans. Interviewees noted that, from an ecosystem-based  
423 management perspective, its large size can be understood as a benefit. Further, by encompassing  
424 all of the Northwest Hawaiian Islands, it protects a place of great significance in Native  
425 Hawaiian culture (Kikiloi 2010). However, the goals stated in PMNM’s mission statement  
426 include “strong, long-term protection and perpetuation of NWHI ecosystems, Native Hawaiian  
427 culture, and heritage resources.” The boundaries created both in 2006 and with the expansion to  
428 the EEZ in 2016 are not based on ecological, Native Hawaiian cultural, or heritage aspects; they  
429 are based on state-based territorial politics and histories. Some interviewees further noted that  
430 PMNM’s boundaries do not address major threats to ecological resources, such as climate  
431 change and marine pollution. Thus, Enabling Condition 4 is only somewhat present in PMNM.

432

### 433       5.3 Social fit of the PMNM governance system

434

435       The previous sections establish PMNM as a polycentric system that exhibits the four  
436 enabling conditions for social fit, at least to some extent. Next, we investigate the extent to which  
437 PMNM exhibits social fit. We use the three dimensions of social fit defined by Epstein et al.  
438 (2015) to examine the case through the information and perceptions of interviewees. We  
439 highlight one or more of the enabling conditions in parentheses to signify that the presence of  
440 that enabling condition supported the emergence of a particular social fit dimension. We  
441 conclude that Social Fit Dimensions 1 and 3 were mostly present, and Dimension 2 was  
442 somewhat present in PMNM. Overall, PMNM mostly exhibits social fit for governance actors as  
443 defined by Epstein et al. (2015).

444

#### 445       5.3.1 Social Fit Dimension 1: Mostly present, grew over time

446

447       The first dimension of social fit addresses the extent to which rules and norms  
448 fit with “patterns of resource use, as well as interplay with the values, beliefs and social customs  
449 of affected groups” (Epstein et al. 2015, p. 37). Though Epstein et al. (2015) refer to those rules  
450 and norms that govern direct resource use, our analysis focuses on the rules and norms that  
451 govern decision-making. Overall, interviewees indicated that rules and norms related to decision-  
452 making do fit with their values and customs. Yet, many interviewees reported that the  
453 governance system that emerged and existed for the 2-3 years immediately following PMNM  
454 establishment (2006 – ‘08) did not exhibit this dimension of social fit (see Kittinger et al. 2011  
455 for additional detail). Limitations and inefficiencies due to differences in agency cultures and  
456 norms emerged as a recurring theme. For example, NOAA manages protected areas by creating  
457 regulations that prohibit or limit specific activities; the FWS, on the other hand, typically bans all  
458 activity in a protected area, then crafts regulations about which specific activities to allow. These  
459 different management approaches led to misunderstanding and conflict during early management  
460 negotiations, when MMB members were attempting to figure out co-management between  
461 federal, state, and semi-government agencies for the first time. Thus, having decision-making



462 centers that spanned political jurisdictions (Enabling Condition 3) actually served to limit this  
463 dimension of social fit in PMNM.

464 Over time, however, governance actors developed rules and norms to address conflict and  
465 alleviate tension. Cross-scale linkages between the SEB and the MMB, and between the PWG  
466 and the MMB, provided conflict resolution mechanisms (Enabling Condition 2). With  
467 experimentation through institutional diversity, the MMB created new rules and norms to allow  
468 members to “hash things out”, such as recording all management decisions in writing and  
469 addressing disagreements directly (Enabling Condition 1). Interviewees noted that, while these  
470 new rules and norms may seem time-consuming, they ensure that everyone understands how and  
471 why decisions are made, a key component of successful co-management. Many interviewees also  
472 described how OHA representatives encouraged *aloha* (a spirit of love and compassion) in inter-  
473 agency interactions and continuously re-directed focus from agency-specific goals and norms to  
474 the broader goal of caring for Papahānaumokuākea, the place. One interviewee described the  
475 shift toward mutual understanding: “We started to understand each [agency’s] culture a little bit.  
476 And each other as individuals” (Interview 8BIX).

477 While interviewees indicated that, overall, rules and norms fit with governance actors’  
478 customs, values, and beliefs, a few exceptions emerged that led us to describe this social fit  
479 dimension as “mostly present.” For example, managers in the FWS are typically reassigned to a  
480 new position every two years; this relatively high turnover rate has led to gaps in institutional  
481 memory, particularly with insufficient teaching about PMNM’s genealogy and context for new  
482 MMB and Working Group members. Some interviewees also described the effort and time  
483 needed to gain access to PMNM through the permitting system as excessive. While these  
484 descriptions do not necessarily indicate a lack of institutional diversity (see explanation in  
485 Section 5.2.1), they do reflect a weakness in the permitting system’s fit with the customs and  
486 values (e.g., efficiency) of some governance actors.

487

### 488 5.3.2 Social Fit Dimension 2: Somewhat present, grew over time

489

490 The second dimension of social fit “is concerned with the appropriateness of rulemaking  
491 processes given the expectations and psychological needs of stakeholders” (Epstein et al. 2015,  
492 p. 37). This dimension focuses on how well decision-making processes fit with governance actor  
493 expectations about how decisions should be made and who should be involved in making them.  
494 Interviewees specifically discussed both interactions between agencies in decision-making and  
495 whether decision-making processes appropriately reflect multiple worldviews and cultures. This  
496 dimension is somewhat present in PMNM’s governance system, and, similarly to Social Fit  
497 Dimension 1, it grew over time.

498 As noted above, early MMB decision-making processes proved tense as agencies  
499 negotiated different values, norms, and policy interpretations in a compressed time. The  
500 continual conflict and tension did not fit with governance actor expectations; interviewees  
501 reported that they needed more time and support to effectively create a new governance system.  
502 One interviewee described these early meetings:

503

504 “I would go into work in the morning with just knots in my stomach – what is  
505 going to happen today?...It was awful...Walking out of meetings. Hands up in the



506 air, you know. There's no use in even carrying on conversations, it was very  
507 difficult." (Interview CX6T)

508  
509 Over time, however, MMB members built trust and relationships through improved  
510 communication and conflict resolution mechanisms (Enabling Conditions 1 and 2). Many  
511 interviewees reported that, despite early tension, current and recent governance actors generally  
512 value the shared management across agencies (Enabling Condition 3) and believe that working  
513 together produces better governance outcomes for PMNM than working apart. While this  
514 dimension of social fit has improved over time, some interviewees noted that tensions in the  
515 MMB remain. This was attributed in part to high personnel turnover and insufficient  
516 genealogical teachings (limitations in Enabling Condition 2). Additionally, power imbalances  
517 emerged when some agencies were allocated more resources for PMNM management than  
518 others (Kittinger et al. 2011). While some interviewees described the benefits of this asymmetry  
519 (see Social Fit Dimension 3), others noted increased distrust and resentment between governance  
520 actors as some agencies were perceived to have power over others.

521 Another theme highlighted by interviewees is the extent to which decision-making  
522 processes for PMNM management address and reflect both Western and Native Hawaiian  
523 worldviews held by governance actors (see Aburto and Gaymer 2018 for another ocean-specific  
524 example). Though PMNM was created by the US government through a predominantly Western  
525 ontological framework, some interviewees reported an expectation that PMNM management  
526 would also reflect a Native Hawaiian ontology, given the importance of the Northwest Hawaiian  
527 Islands in Native Hawaiian belief systems, the key role of Native Hawaiians in promoting their  
528 protection, and the prominence of the cultural component of this protection in the Presidential  
529 Proclamation. Interviewees explained how Native Hawaiian worldviews are reflected in some  
530 aspects of decision-making. For example, naming the place itself Papahānaumokuākea and the  
531 CWG's role of naming new species discovered in PMNM reflects the significance of naming in  
532 Native Hawaiian culture (Kikiloi et al. 2017). PMNM management practices have also been  
533 updated to better reflect Native Hawaiian worldviews over time, demonstrating the importance  
534 of institutional diversity (Enabling Condition 1) and learning (Enabling Condition 2). For  
535 example, elevating OHA to the level of Co-Trustee in PMNM's management structure addressed  
536 the expectations of many government actors that OHA's significant role in collective  
537 management to date should be formally elevated and codified. This elevation gives OHA, a  
538 semi-autonomous public agency representing indigenous people's interests, equal standing with  
539 federal and state agencies over the management of a space for the first time. Though OHA  
540 cannot sign off on permits to access PMNM because it lacks legal jurisdiction, interviewees  
541 noted that the other three co-Trustees seek OHA's approval informally, a norm which  
542 demonstrates the agencies' mutual respect and builds trust.

543 Some interviewees reported that, while progress has been made to include key aspects of  
544 Native Hawaiian worldviews into PMNM management, there is still insufficient understanding  
545 and inclusion of the multiple ontologies held by PMNM governance actors. For example, the  
546 CWG reviews all permit applications to access PMNM. While their input has become a key part  
547 of the MMB's decision-making over time, ultimate authority still lies with the MMB and  
548 agencies with specific legal mandates. Yet, interviewees also explained that, while their  
549 frustration continues, these issues were expected because the PMNM management system was  
550 created within a Western governance system based on a Western worldview. PMNM was created  
551 within the US national governance system, which illegally overthrew the Hawaiian Kingdom

552 over a century ago (MacKenzie and Tanaka 2015). This history creates ambiguity between the  
553 established Hawaiian Kingdom legal system and the US legal system; yet, general deference to  
554 the US laws and governance system remains. One interviewee explained the continuous attempts  
555 to infuse Native Hawaiian worldviews and culture into PMNM management,

556  
557 “If you grow up as a Native Hawaiian in Hawai‘i, and you have any sense of your  
558 history and culture...you live in a duality. And we are living right now, this is a  
559 façade. Under international law, we are illegally occupied...So, in that context,  
560 it’s not that weird [to operate within two, sometimes conflicting,  
561 worldviews]...We said, we need to put this cultural principle into law...[through]  
562 little attempts at reclaiming little bits of management influence” (Interview  
563 VM32)

564 While these continued frustrations met some interviewees’ expectations given the Western  
565 context in which PMNM was created, this quote illustrates their continuing push to better meet  
566 the psychological needs of those operating with a Native Hawaiian worldview.

### 567 5.3.3 Social Fit Dimension 3: Mostly present, grew over time and starting to erode

568  
569 The third dimension of social fit addresses the extent to which rules and norms, or  
570 institutions, enable governance actors to leverage the various roles, abilities, and resources of  
571 decision-making centers at different governance levels (Epstein et al. 2015). A governance  
572 system demonstrates this dimension of social fit if it is able to “resolve conflicts, produce public  
573 goods, build redundancies, and more generally, develop conditions conducive to social learning”  
574 (p. 37). This dimension requires that Enabling Conditions 1-3 are met, as they provide the  
575 scaffolding to allow conflict resolution, production of public goods, and social learning. This  
576 research revealed that PMNM mostly exhibits Dimension 3, but interviewees note that this  
577 dimension of social fit has changed across time; effective institutions took time to emerge, and,  
578 at the time of data collection, those institutions had begun to erode.

579 PMNM governance actors regularly use the distinct authorities held by decision-making  
580 centers at different governance levels to resolve conflict. In addition to the MMB’s use of the  
581 PWG and the SEB to address issues at different decision-making levels (see Section 5.2.2),  
582 interviewees noted that boat operators, researchers, and cultural practitioners who access PMNM  
583 together have built rapport through their trips to PMNM; this rapport has “trickled up” to ease  
584 tension between agencies. Agencies also leverage their different capacities, expertise, and  
585 financial resources to collectively achieve PMNM’s goals. For example, NOAA has funded  
586 PMNM positions within the State of Hawai‘i, OHA has contributed expertise and connections to  
587 the Native Hawaiian community to other agencies, and agency representatives already  
588 conducting research in PMNM carry out research and management tasks for other co-managing  
589 agencies. This sort of collaboration and mutual support emerged over time, with trust-building  
590 through continual interaction and learning about agency-specific cultures. Note, however, that  
591 while some decision-making centers have established mechanisms to ensure that Native  
592 Hawaiians hold paid positions and key decision-making roles, this mandated commitment to the  
593 inclusion of Native Hawaiian worldview(s) is not shared across all agencies represented on the  
594 MMB. This limits the system’s potential for broadly shared learning and understanding; given  
595 this limitation, we describe Social Fit Dimension 3 as mostly present.

596 Governance actors generally agreed that creating mechanisms to resolve conflict and  
 597 facilitate cooperation benefitted the system as a whole (Enabling Conditions 1 and 2). As one  
 598 interviewee explained, “Some of these issues [between agencies] either had to be resolved or  
 599 [we] had to agree to disagree... I think that all the different agencies recognized that none of  
 600 them had the resources individually to be able to manage that area” (Interview QS2T). Despite  
 601 this recognition, the system’s ability to effectively manage PMNM had fallen at the time of data  
 602 collection. Interviewees noted that the erosion of institutional memory (minor weakness in  
 603 Enabling Condition 2) and general decreases in federal support and resources have stunted  
 604 learning and opportunities to adapt by experimenting with new rules and norms. For instance,  
 605 decreases in support left previously well-funded agencies, such as the ONMS in NOAA, less  
 606 able to coordinate with, and at times offer resources and support to, other agencies. Interviewees  
 607 argue that these changes have, in part, followed presidential administration changes, making  
 608 long-term planning difficult as the potential that they may change again remains.  
 609

Definitions	Presence	Example(s)
<b>Polycentricity Attributes</b>		
Attribute 1: Multiple, overlapping decision-making centers with some degree of autonomy	Present	The MMB is a semi-autonomous decision-making center that includes representatives of seven federal, state, or semi-autonomous public agencies, each of which constitutes a distinct decision-making center with autonomy
Attribute 2: Choosing to act in ways that take account of others through processes of cooperation, competition, conflict, and conflict resolution	Present	Activities conducted in PMNM require a permit. Permit holders, including some decision-making centers, often coordinate activities and resources to increase success and efficiency
<b>Social Fit Enabling Conditions</b>		
Enabling Condition 1: Decision-making centers use diverse institutions	Present	Agencies exhibit distinct cultures, and use distinct rules and norms to carry out mandates
Enabling Condition 2: Decision-making centers participate in cross-scale linkages or other mechanisms for deliberation and learning	Mostly Present	There are linkages across jurisdiction, governance level, and geographical space that allow for deliberation, collaboration, and learning; cross-cultural understanding and informational linkages over time could be strengthened
Enabling Condition 3: Decision-making centers exist at different	Present, as	Members of the SEB and MMB consist of individuals in the federal government,

levels and across political jurisdictions	currently written	state government, and OHA
Enabling Condition 4: The jurisdiction or scope of authority of decision-making centers is coterminous with the boundaries of the problem being addressed	Somewhat present	PMNM covers a vast area that allows for holistic ecosystem-based management. However, the boundaries are not based on ecological, Native Hawaiian cultural, or heritage aspects.

**Social Fit Dimensions**

Dimension 1: Institutions fit with patterns of resource use, as well as interplay with the values, beliefs, and social customs of affected groups	Mostly Present, grew over time	Rules and norms guiding PMNM's governance mostly align with the values and norms of governance actors; inter-agency tension in the early governance system was alleviated over time
Dimension 2: Decision-making centers account for the expectations and psychological needs of stakeholders	Somewhat present, grew over time	Agencies in PMNM's governance have different worldviews, values, norms, and policy interpretations, and these differences create tension and conflict; over time, greater collaboration and communication created opportunities for better governance outcomes, though tension resulting from ontological differences remain
Dimension 3: Governance system resolves conflicts, provides resources, and promotes social learning	Mostly Present, grew over time and starting to erode	The MMB uses decision-making centers at different levels, such as the SEB and the PWG, to resolve conflicts and promote institutional innovation and learning; institutions to support this grew over time and have begun to erode with decreasing resources and limited genealogical teaching and learning

---

610  
611 **Table 3.** Polycentricity and social fit (from Carlisle and Gruby (2017) and Epstein et al. (2015))  
612 in PMNM

613 5.4 Contextual features to promote social fit

614  
615 We have shown that the PMNM case provides empirical evidence supporting the links  
616 between Enabling Conditions 1-3 and the emergence of social fit in Carlisle and Gruby's (2017)  
617 model. In this section, we propose four contextual features that supported PMNM in achieving  
618 social fit: sufficient time to develop mechanisms to effectively cooperate, communicate, and  
619 manage conflict; the 'match' between individual personalities and the governance positions they

620 hold; consistent and sufficient resources to sustain effective governance processes; and clear  
621 communication and shared understanding of diverse actor beliefs, values, norms, and goals.  
622 While the enabling conditions refer to structural aspects of the governance system (e.g. decision-  
623 making centers and their relationships and interactions), contextual features refer to  
624 characteristics of and relationships between the particular people, place(s), and histories that  
625 enliven a governance system and relate to how it performs (see Mudliar (2020)). In theoretical  
626 terms, we posit that these contextual features contribute to, and may be necessary to ensure that,  
627 Enabling Conditions 1-3 facilitate the emergence of social fit as theorized.

628 The first contextual feature suggested by the PMNM case is sufficient time for the  
629 governance system to develop attributes necessary to achieve the three dimensions of social fit.  
630 To address early conflicts, governance actors had to identify issues, experiment with diverse  
631 rules and norms to address them (Enabling Condition 1), and adjust those rules and norms as  
632 needed to effectively cooperate and eventually build trust (Enabling Condition 2). Each of these  
633 steps took time. Time also allowed the emergence and replacement of specific governance actors  
634 and governance roles, which encouraged new ideas for facilitating co-management. Some  
635 interviewees, however, noted that personnel turnover over time also contributed to an erosion of  
636 both institutional memory and a collective sense of connection to the place. Thus, PMNM  
637 suggests that time for institutional innovation should be coupled with continued genealogical  
638 teaching to retain and continuously build lessons learned and trust.

639 Another contextual feature that interviewees highlighted is having particular people in the  
640 specific governance roles that enable them to contribute to effective governance. Bodin (2017)  
641 similarly argues that effective collaborative networks are built on “the interplay between the  
642 overall structure of the network, the characteristics of its actors, and the network positions that  
643 they occupy” (p. 6). For PMNM, interviewees noted that each governance role benefitted from  
644 different actor characteristics. As one interviewee explained, “You had to have the right set of  
645 individuals in the room at the right moment for this to have ever come together...Everybody had  
646 to do a little bit around the edges to make this work” (Interview JJMT). For instance, agency  
647 leaders needed to advocate for resources, push to achieve particular goals, yet listen and  
648 compromise when needed. Working groups benefitted from members who could let go of inter-  
649 agency issues that arose in the MMB to enable efficient on-the-ground management at different  
650 governance levels (Enabling Condition 3) and conflict resolution (Enabling Condition 2) to  
651 occur. Strategic advisory group members were able to affect key decision-making despite a lack  
652 of formal authority; other socially astute governance actors have helped translate, connect and, at  
653 times, bridge cultural and worldview differences between Native Hawaiians and non-Hawaiians  
654 (using new rules and norms available through Enabling Condition 1).

655 The third contextual feature suggested by the PMNM case is consistent access to  
656 governance resources. Governance resources include not only financial resources, but also  
657 expertise, space, knowledge, equipment and tools, and personnel. For example, interviewees  
658 indicated that members of OHA and the CWG provided financial resources, cultural expertise,  
659 tools for effective communication and visioning, cultural practices, historical knowledge, and  
660 other resources to the PMNM governance system. PMNM was able to take advantage of these  
661 resources because it exhibits Enabling Conditions 1 and 2. This contextual feature also connects  
662 specifically to Social Fit Dimension 3 in that, to effectively leverage governance resources, those  
663 resources must be available. Similar to other contexts (see Biddle and Baehler 2019; Morrison et  
664 al. 2019), resource distribution and access and related issues of power across governance actors  
665 played a key role in how governance resources contributed to social fit in PMNM. For example,



666 agency funding has changed over time: while the ONMS in NOAA had substantial funding in  
667 the early years of PMNM, their funding has fallen recently, while OHA’s funding resources have  
668 grown. These changes are not necessarily positive or negative, but they can change inter-agency  
669 and inter-actor relationships and dynamics.

670 The final contextual feature suggested by the PMNM experience is clear communication  
671 and shared understanding of the diversity of actors’ values, beliefs and norms. These include  
672 underlying philosophies and value systems about governance as well as norms of interaction.  
673 Thiel and Moser (2019) argue that actor and community heterogeneity and its relationship with  
674 polycentric governance and performance remains under-researched and undertheorized. In  
675 PMNM, despite early conflict based on inter-agency differences and misunderstandings,  
676 interviewees reported that identifying and clarifying the roots of actor differences allowed  
677 productive conversation, compromise, and cooperation to emerge (Enabling Conditions 1 and 2).  
678 Interviewees also discussed the importance of developing and continually articulating shared  
679 goals. For instance, many of them indicated a broadly shared goal of making co-management  
680 between such a variety of entities work; this stemmed from a collectively developed value of the  
681 place, Papahānaumokuākea, as more important than the specific interests of an individual person,  
682 agency or group. This shared value emerged over time with leadership and encouragement from  
683 OHA. It has prompted rules and norms that better recognize and prioritize social fit of the whole  
684 governance system over aligning with the expectations of particular actors or agencies.

685

## 686 6. Discussion and Conclusions

687

688 We have demonstrated that PMNM is a polycentric governance system that has exhibited  
689 some degree of social fit for governance actors over time. Three of the four enabling conditions  
690 were present or mostly present in PMNM, and their presence generally promoted the emergence  
691 of social fit for governance actors, which supports the relationships theorized in Carlisle and  
692 Gruby’s (2017) model. As one enabling condition was only somewhat present, the case also  
693 supports their assertion that not all of the social fit enabling conditions need to be present for a  
694 governance system to produce some degree of social fit. We further highlight the importance of  
695 context; we identified four contextual conditions that mattered for the emergence of social fit in  
696 PMNM. Finally, the PMNM case demonstrates that social fit is not static; it can build or erode  
697 over time.

698 This article suggests three contributions to the functional model of polycentricity. First,  
699 rather than enabling the emergence of social fit, the inclusion of multiple agencies with different  
700 jurisdictions and mandates (Enabling Condition 3) in fact limited the emergence of social fit in  
701 the early years of PMNM. This demonstrates that the presence of an enabling condition can  
702 hinder governance functionality in practice, depending on context; in this case, Enabling  
703 Condition 3 served as a “limiting” condition for Social Fit Dimension 1 in those early years.  
704 Note, however, that this does not imply that Enabling Condition 3 limited the functionality of the  
705 governance system overall; indeed, other dimensions of social fit may not have emerged over  
706 time without this enabling condition. Second, interactions between enabling conditions can  
707 influence whether and how governance functionality emerges. The existence of institutional  
708 diversity (Enabling Condition 1) and cross-scale linkages between decision-making centers that  
709 address conflict (Enabling Condition 2) helped resolve these early inter-agency tensions – for  
710 example, new institutions emerged in the joint permitting system that addressed conflict and  
711 increased social fit. Third, decision-making centers should span not only governance level and



712 geographic jurisdictions, but also different worldviews and cultures. This is supported  
713 empirically in this case by the key role of OHA in facilitating the emergence of social fit. Aburto  
714 and Gaymer's (2018) work also supports this assertion, as they similarly found that mismatches  
715 in worldviews between Rapanui fishing communities and the creators of governance institutions  
716 in mainland Chile contributed to a lack of social fit in fisheries governance. Thus, we propose  
717 broadening Enabling Condition 3 to reflect this important dimension.

718 The PMNM case also highlights four contextual features that were important to social fit.  
719 A governance system's context and history influence the effectiveness of polycentric governance  
720 (Morrison 2017; Mudliar 2020); the contextual features proposed in this paper offer a step  
721 toward specifying what aspects of context need research attention. We hope that this specificity  
722 provides governance actors working with LSMPAs and other polycentric systems with concrete  
723 insights into what has facilitated and limited social fit elsewhere. It is important to note,  
724 however, that, while each of the proposed contextual features support, and might even promote,  
725 the emergence of social fit, they do not cause or guarantee it. Further, while the relative  
726 importance of enabling conditions vs. contextual features for how polycentric governance  
727 systems evolve and function cannot be determined from one case study, future research may  
728 shed light on whether trends exist.

729 The temporal dynamics of social fit in the PMNM case demonstrate that social fit can  
730 change over time. This finding aligns with other scholarship demonstrating that polycentric  
731 governance structures, performance, and outcomes are dynamic (Morrison et al. 2019; Biddle  
732 and Baehler 2019; Thiel, Pacheco-Vega, and Baldwin 2019). This finding also has implications  
733 for established and future LSMPAs. If social fit does not immediately emerge, it can and may,  
734 given time and the right conditions. Yet, PMNM also suggests that social fit is unstable and can  
735 erode over time; as governance resources decrease, the ability to maintain connection, trust and  
736 coordination between decision-making centers can decrease as well (see discussion of Social Fit  
737 Dimension 3).

738 More broadly, our analysis provides an empirical example of how social fit emerges  
739 through a particular form of hybrid governance: co-management. Scholars have called for a  
740 greater empirical focus on co-management and other hybrid forms of governance (Lemos and  
741 Agrawal 2006; Rana and Chhatre 2017), and PMNM offers insights into how the attributes and  
742 features of a polycentric governance system facilitate effective co-management. Though  
743 PMNM's governance structure was created through a seemingly top-down presidential  
744 proclamation, much of the effort and advocacy to establish protection came from the public,  
745 particularly from Native Hawaiians (Kikiloi et al. 2017). The autonomy afforded to the MMB  
746 and other decision-making centers to craft governance rules and norms that best fit community  
747 needs and expectations allowed social fit to largely emerge. Non-government community  
748 governance actors in advisory bodies such as the RAC and the CWG add not only context and  
749 legitimacy to decisions but contribute resources and relationships that strengthen shared  
750 understanding and improve social fit. Decision-making centers' continual meaningful  
751 engagement with these advisory bodies, as well as the elevation of OHA to co-Trustee, have  
752 provided structure for enhancing coordination of goals and shared understanding and have given  
753 greater authority to often marginalized voices within the state governance system. Yet, as  
754 discussed previously, limitations to social fit remain because of the incomplete reflection of  
755 Native Hawaiian worldview(s) in PMNM governance.

756 Finally, Bruns (2019) rightly asserts that the design of polycentric governance systems is  
757 inherently political and power-laden. Assessing social fit offers an avenue to investigate the

758 extent to which the governance system “fits” with the interests and values of those most  
759 impacted by the system in practice, rather than focusing solely on the structure and the potential  
760 it offers for achieving theorized benefits. While both exercises are important, the PMNM case  
761 calls for greater attention to empirical examinations of polycentric governance in practice,  
762 enlivened by particular places, people, histories, and relationships, to further understanding of  
763 how polycentric governance systems relate to social-ecological systems and outcomes. In other  
764 words, while the presence of the enabling conditions in polycentric governance systems may  
765 facilitate the emergence of social fit, they do not guarantee it. Questions about who enlivens the  
766 governance structure, with what resources, time limitations, knowledge and power dynamics  
767 may prove just as important (Mudliar 2020). This reality highlights a continuing challenge of  
768 studying polycentric systems: they are complex and multi-layered, and aspects like social fit  
769 represent just one facet of a functional polycentric system. Further research is needed to  
770 determine how social fit relates to outcomes of polycentric governance and under what  
771 circumstances a polycentric system can become functional.

772

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